Docket No.

241985US0

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Atsushi NAGASAWA, et al.

SERIAL NO: 10/649,701 GAU: 1754

FILED: August 28, 2003 EXAMINER:

FOR: PRODUCTION PROCESS FOR GLYCIDYL ETHER ADDUCT AND CATALYST USED FOR THE PROCESS

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

| The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the |
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| attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of |
| relevancy or any readily available English translations of pertinent portions of any non-English language         |
| references.   |

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### **RELATED CASES**

| Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be  |
|--|
| related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies    |
| of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the |
| attached PTO form 1449.  |

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### **CERTIFICATION**

| Each item of information contained in this information disclosure statement was first cited in any communication    |
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| from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of |
| this statement.   |

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Corwin P. Umbach, Ph.D. Registration No. 40,211

Customer Number

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| Form PTO 1449 (Modified)  TRADE PATENT AND TRADEMARK OFFICE |   |                            | ATTY DOCKET NO.                         | SERIAL NO.<br>10/649,701  |             |              |           |                   |  |  |  |
|---|---|----------------------------|---|---|-------------|--------------|-----------|-------------------|--|--|--|
|   |   |                            | 241985US0                               |   |             |              |           |                   |  |  |  |
|   |   |                            |   | APPLICANT   |             |              | *         |                   |  |  |  |
| LIST OF REFERENCES CITED BY APPLICANT                       |   |                            |   | Atsushi NAGASAWA, et al.  |             |              |           |                   |  |  |  |
|   |   |                            |   | FILING DATE   |             | GROUP        |           |                   |  |  |  |
|   |   |                            |   | August 28, 2003   |             | 1754         |           |                   |  |  |  |
|   | _   |                            | 1                                       | U.S. PATENT DOCUMENTS   |             |              |           |                   |  |  |  |
| EXAMINER<br>INITIAL   |   | DOCUMENT<br>NUMBER         | DATE                                    | NAME  | CLASS       | SUB<br>CLASS |           |                   |  |  |  |
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|   | АН  |                            |   |   |             |              |           |                   |  |  |  |
|   | Al  |                            |   |   |             |              |           |                   |  |  |  |
|   | AJ  |                            |   |   |             |              |           |                   |  |  |  |
|   | AK  |                            |   |   |             |              |           | -                 |  |  |  |
|   | AL  |                            | • |   |             |              |           |                   |  |  |  |
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|   |   |                            | FO                                      | REIGN PATENT DOCUMENTS  |             |              |           |                   |  |  |  |
|   |   | DOCUMENT<br>NUMBER         |   |   |             | TRANS<br>YES |           | LATION<br>NO      |  |  |  |
|   | AO  |                            |   |   |             |              |           |                   |  |  |  |
| <u>.</u>  | AP  |                            |   |   |             |              |           |                   |  |  |  |
| <b>3</b>  | AQ  |                            |   |   |             |              |           |                   |  |  |  |
| _   | AR  |                            |   |   |             |              |           |                   |  |  |  |
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|   | W. T. REICHLE, et al., "The Nature of the Thermal Decomposition of a Catalytically Active Anionic Clay Mineral", JOURNAL AW OF CATALYSIS, XP-009036728, vol. 101, 1986, Pages 352-359 |                            |   |   |             |              |           |                   |  |  |  |
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|   | AZ  |                            |   | · · · · · · · · · · · · · · · · · · ·   | Addi        | tional Refe  | erences : | sheet(s) attached |  |  |  |
| Examiner  |   | Date Considered            |   |   |             |              |           |                   |  |  |  |
| *Evaminer: In   | itial if r  | reference is considered, v |   | t citation is in conformance with MPEP 60 with next communication to applicant. | )9; Draw li | ne through   | citation  | if not in         |  |  |  |